



HVACR
Leadership
Workshops

Welcome to: **Value of Product Certification**

HVACR Leadership Workshop by Eurovent Middle East

Moderated by



Markus Lattner
Managing Director
Eurovent Middle East

Eurovent Middle East

Association of the
**Heating, Ventilation,
Air-Conditioning and
Refrigeration Industry**
in the Middle East



Workshop Partners



Media Partner

climate control MIDDLE EAST

KEY PERSPECTIVES ON THE REGION'S HVACR INDUSTRY

Event Introduction: Value of Product Certification



Brian Suggitt
President
Eurovent Middle East

Agenda

- Fundamentals of Product Compliance
- Safety, Performance and Energy Efficiency
- Accreditations per ISO17025 and ISO17065
- Safety and Performance Certifications
- Benefits of Third-Party Certification

Underwriters Laboratories



Mr Stefano Traversi
Engineering Leader HVAC
Underwriters Laboratories (UL)

EUROVENT MIDDLE EAST HVAC/R Leadership Workshops

Version 1.0 / 09.06.20 / Stefano Traversi – Engineering Leader HVAC



Agenda

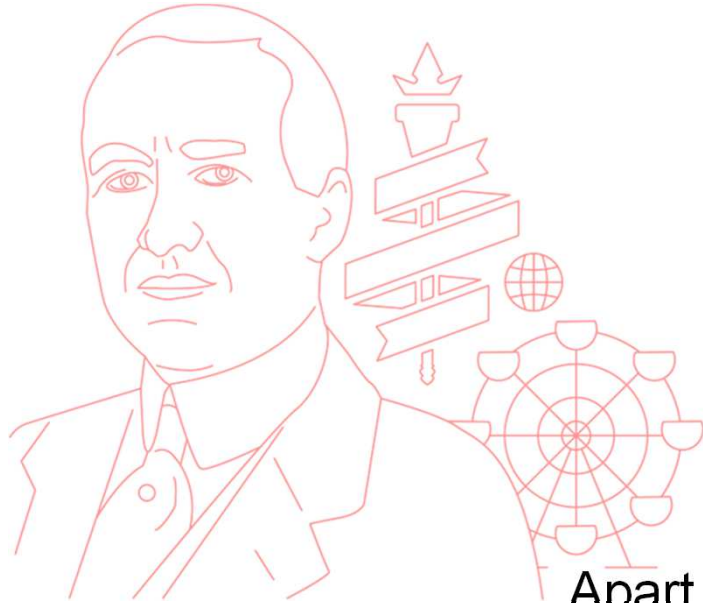
- More about UL
- General Considerations: Safety, Performance, Energy Efficiency and Environmental
- ISO 17065: Fundamentals of Accredited Independent Third Party Certification
- ISO 17025: Fundamentals of Testing and Calibration Laboratories
- Safety certifications:
 - UL Certification Mark
 - IEC CB Scheme
 - CE Marking
 - MENA Regulation
- Performance Certification
 - UL Verified Leaf Mark



More about UL



UL MARK – A short History



UL was founded in 1894.

Apart from the Testing, Inspection and Certification Services,
UL develops safety standards that are broadly adopted for the North American Market
but as well recognized in other regions around the world.





To meet these needs, we offer services designed to help you innovate safely, harness your information ecosystem securely, and create more sustainable products, businesses and experiences.



Advisory



Analytics and intelligence



Auditing



Certification



Digital applications



Inspection



Learning and development



Testing



Verification

Our diverse customers are
based in more than

100
COUNTRIES



Our mission-driven employees
are based in more than

40
COUNTRIES

WE HAVE ENHANCED TRANSACTION SECURITY FOR:



500+ banks
20+ payment
schemes

60+ mobile network operators
50+ governments/
transport operators



We work with
more than **60%** of

FORTUNE 500 and
GLOBAL 500
COMPANIES



**Science and
global expertise**

Our software is used
by **10,000+**   



ORGANIZATIONS across
nearly every industry

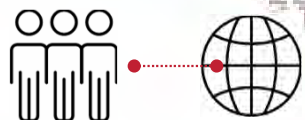


Our **SUSTAINABILITY CERTIFICATIONS** are referenced in
900+ sustainable product specifications or
purchasing guidelines around the globe

We have helped
to set more than **1,600**
standards defining safety,
security, quality and sustainability



Our team



14,000+
EMPLOYEES



General Considerations

Safety, Performance, Energy Efficiency and Environmental



Why do we need Standards?

- Product standards build **a common framework** for all affected stakeholders in a country such as regulators, suppliers, manufacturers, transportation, vendors, end users etc..
- Product Standards help to **protect people** and the environment.
- Product standards help **drive** the industry and market by increasing energy efficiency and environmental requirements.



Implication: Safety Recall

What happens when there are no standards or when the products are not complying with the standards....

PORTABLE GAS HEATER

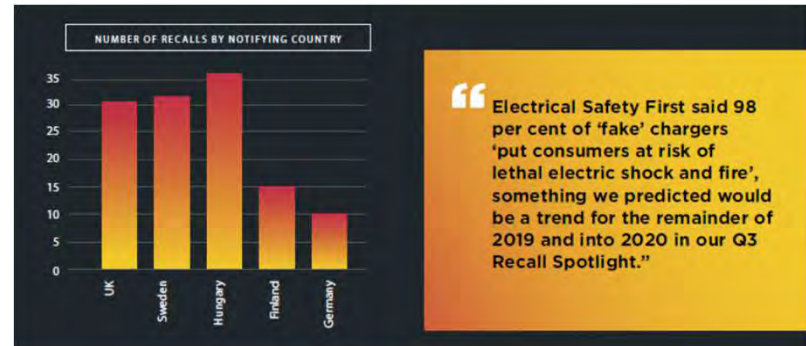
Publication Date December 13, 2019

Image



Risk Type Burns

Technical defect	The surface temperature of the appliance rises too much during use.
Risk	This poses a risk of burns to the user. The product does not comply with the requirements of the Gas Appliance Directive and the relevant European standard EN 449.
Measures adopted by notifying country	Withdrawal of the product from the market



ISO 17065

Fundamentals of Accredited Independent Third Party Certification



Conformity Assessment Activities - Fundamentals

- TESTING: determination of one or more characteristics of an object of conformity assessment;
- CERTIFICATION: third party assurance that a product (services), process, personnel, organization or management system conforms to specific requirements
- INSPECTION: Examination of a product design, product, process or installation and determination of its conformity with specific requirements.
- ACCREDITATION: third-party attestation related to a conformity assessment body conveying formal demonstration of its competence



ISO/IEC 17065: Requirements

The overall aim of certifying products, processes or services is to:

give confidence to all interested parties that a product, process or service **fulfills specified requirements**

The value of certification is **the degree of confidence and trust** that is established by an impartial and competent **demonstration** of fulfillment of specified requirements by a third-party.



ISO/IEC 17065: Objectives

- **Consistency:** there is conformity in application of the requirements; being consistent for a certification body means that the certification scheme is applied the same way for all clients.
- **Impartiality:** the certification body is free from conflicts of interest;
- **Competency:** all personnel working for the certification bodies are capable of making required technical judgments;



ISO/IEC 17065: UL Accreditation

UL is an **accredited certification body** (accredited to ISO 17065) operating as **independent** third party to **demonstrate** compliance of products, processes and services to defined requirements (certification schemes) **through testing and inspection** issuing at the **end an attestation (mark)**.



ISO 17025

Fundamentals of Testing and Calibration Laboratories



ISO/IEC 17025: Requirements

Basic requirements that a laboratory should comply with to demonstrate it is **competent** to perform specified tests and calibrations:

- Management system requirements are common to all laboratories irrespective of the technical work they perform.
- Technical requirements have to be applied according to the specific field of work and require the laboratory to apply additional requirements specific to the field.



ISO/IEC 17025: Requirements

The **scope** for which the laboratory does testing needs to be **clearly specified and stated**.

Usually the scope is supported by listing the specific test methods the laboratory uses in case of testing and specific measurements and associated uncertainty in the case of calibration laboratories.

It also allows for the customers of the laboratory to identify a suitable laboratory for their purposes and have confidence in the tests and measurements made by the laboratory.

When a laboratory is accredited to ISO/IEC 17025 its compliance is not only assessed to the generic standard ISO/IEC 17025 but also to any field specific requirements and to the laboratories' competence to perform the specific test methods.



Safety Certification



UL LISTED Mark - Definition

- If a product carries one of these marks, it means UL found that representative product samples met UL's requirements.
- There are multiple variations of marks for UL's Listing service, some of which are for use in the United States, others for use in Canada and still others for use in both markets. A combination mark indicates compliance with both Canadian and U.S. requirements.
- All of the products carrying these marks are covered by our Follow-Up Services program to determine that products continue to be manufactured in compliance with UL's safety requirements.



CB Scheme - Definition

The CB Scheme is:

- the world's first truly international system for mutual acceptance of test reports and certificates,
- dealing with the safety of electrical and electronic products and components.
- It is overseen by the International Electrotechnical Committee for Conformity Testing to Standards for Electrical Equipment (IECEE).



CB Scheme – Why it's important

Because the scheme:

- Simplify certification at national level by eliminating duplication of testing thanks to a mutual recognition of test results;
- Facilitate customer access to national marks;
- Promote global harmonization of standards through the adoption and use of IEC Standards.



CB Scheme – Who benefits from it?

- **Governments:** are able to reduce trade barriers caused by different certification criteria of countries.
- **Industry:** markets can be expanded and product to market time reduced as product can be certified once by a single National Certification Body (NCB) which can be accepted by others worldwide, usually without the need for further product assessment.
- **End users:** ultimately anyone using a product which has been tested and certified using the IECEE CB Scheme has the assurance that the goods purchased will perform to expectations and are reasonably safe when used as intended.



CE Marking – Definition

CE Mark proves that products sold in the European Economic Area (EEA) have been assessed to meet high safety, health, and environmental protection requirements.

By affixing the CE marking to a product, a manufacturer declares that the product meets all the legal requirements for CE marking and can be sold throughout the EEA.

This also applies to products made in other countries that are sold in the EEA.



CE Marking – Why it's important?

There are two main benefits CE marking brings to businesses and consumers within the EEA:

- **Businesses** know that products bearing the CE marking can be traded in the EEA without restrictions.
- **Consumers** enjoy the same level of health, safety, and environmental protection throughout the entire EEA.



CE Marking – Obligations

If you are a manufacturer, you have to follow these 5 steps to affix a CE marking to your product:

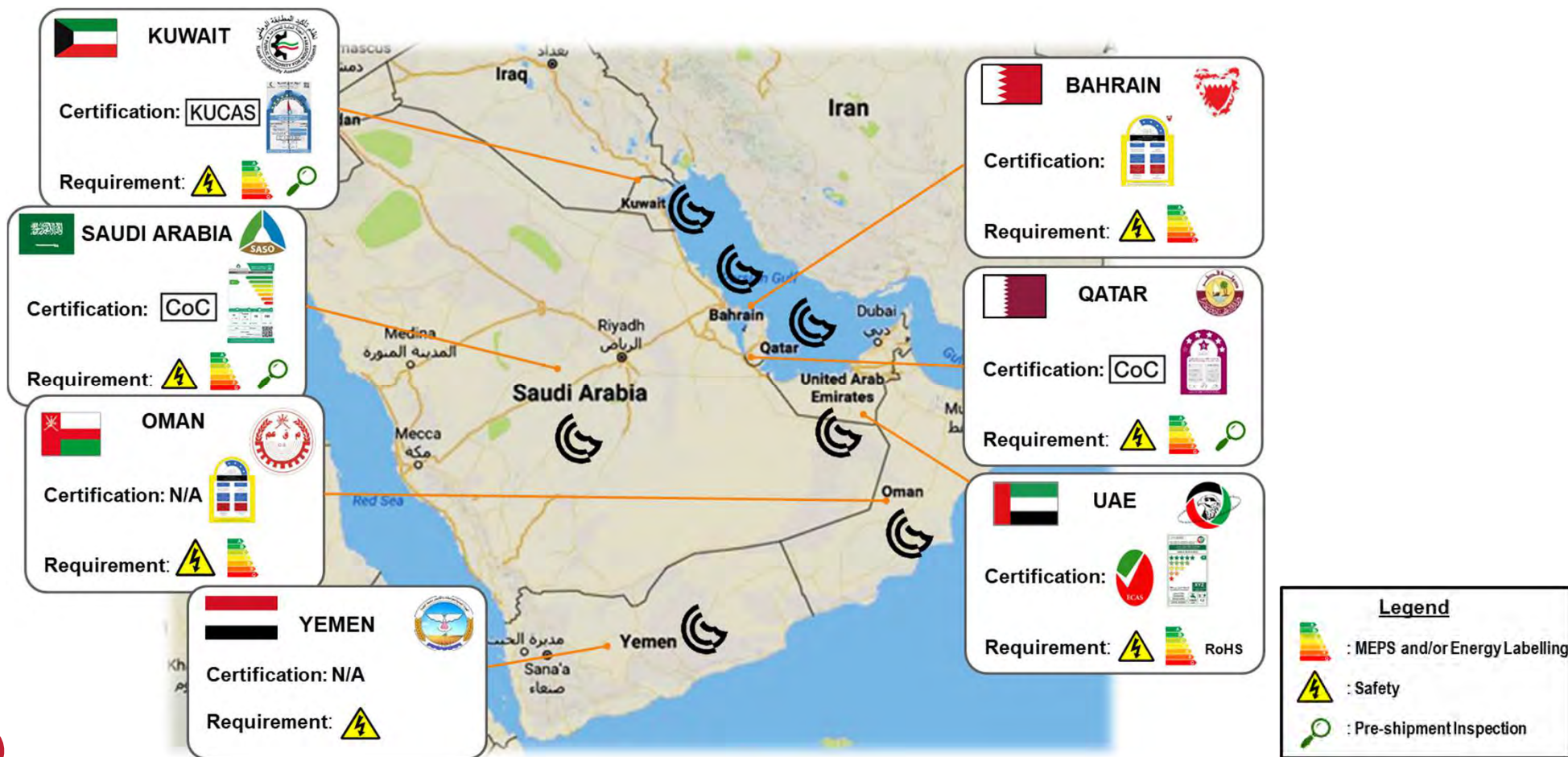
- Identify the applicable directive(s) and harmonised standards
- Identify whether an independent conformity assessment (by a notified body) is necessary
- Test the product and check its conformity
- Draw up and keep available the required technical documentation
- Affix the CE marking and draw up the EU Declaration of Conformity.

Importers and distributors help ensure that only products compliant with EU legislation and bearing the CE marking are placed on the EEA Market.



They must have overall knowledge of the legal requirements and make sure that the products they distribute or import meet them.



MENA Regulation: GSO Countries Overview



GSO Countries Overview – National Requirements

Country	Country requirements				GSO conformity scheme (applicable only to list 2 products)
	Voltage and Frequency	Plug	Safety	Energy efficiency	
United Arab Emirates	230 V 50 Hz	D / G	ECAS or EQM Certificate of Conformity (CB Safety Test Report and Certificate)	EE Test Report required to get ECAS or EQM certificate, EE Labelling required for Air conditioners, Washing Machines and Dryers, Dishwashers, Refrigerators and Freezers, Water Heaters, Lamps.	  Gulf type examination certificate (including Safety and EMC conformity) Gulf conformity marking Manufacturer Declaration of Conformity Importer Declaration of Conformity Technical Documentation
Kingdom of Saudi Arabia	220 or 230 V 60 Hz	G	SASO Certificate of Conformity for each shipment (Safety Test Report + Pre-shipment inspection) SASO IECEE Recognition Certificate required for Water Pumps, Dishwashers, Luminaires and Lamps	EE Test Report, Registration, Certificate and Labelling required for Air Conditioners, Large Conditioners, Refrigerating Appliances, Washing Machines, Motors, Clothes Dryers, Water Heaters, Lamps.	
Kingdom of Bahrain	230 V 50 Hz	G	Safety Test Report	EE Test Report, Product Registration at Electricity & Water Authority (EWA) and Labelling required for Air Conditioners and Lamps (non-directional household lamps).	
Republic of Yemen	220 V 50 Hz	A / D / G	Safety Test Report	\	
Sultanate of Oman	240 V 50 Hz	C / G	Safety Test Report	EE requirements and labelling for Air Conditioners mandatory from July 2019.	
State of Kuwait	240 V 50 Hz	G	KUKAS Certificate of Conformity for each shipment: TER (Safety Test Report) + TIR (Pre-shipment inspection)	EE requirements and labelling for Air Conditioners (mandatory from August 2019) and Refrigerating Appliances (under discussion).	
State of Qatar	240 V 50 Hz	D / G	QA Certificate of Conformity for each shipment (Safety Test Report + Pre-shipment inspection) only for electrical products under Gulf Conformity Marking Regulation	EE Test Report, Labelling and Product Registration mandatory for Air Conditioners. Under discussion EE requirements and labelling for Energy Saving Lamps, Washing Machines, Refrigerators, Water Heaters.	



- [NOTE Additional country requirements:](#)
- - EMC: no mandatory requirements for GSO countries national schemes
- - RoHS: requirements have been implemented for United Arab Emirates

G-Marking Requirements – A/C

SAFETY STANDARDS

#	Category	HS commodity codes ⁽¹⁾	Product scope	Applicable GSO standards	Corresponding applicable IEC standards ⁽²⁾
12	Plugs, Socket outlets, Adaptors, Cord Extension Sets and chargers	85 04 40 13	Battery chargers	GSO IEC 60335-1 GSO IEC 60335-2-29	IEC 60335-1 IEC 60335-2-29
13	Air conditioners	84 15 10 00 84 15 10 10 84 15 10 20	Electrical air-conditioners	GSO IEC 60335-1 GSO IEC 60335-2-40	IEC 60335-1 IEC 60335-2-40

EMC STANDARDS

Category	Applicable GSO standards	Corresponding applicable IEC standards ⁽²⁾
All appliances	GSO CISPR 14-1 No GSO standard applicable ⁺ No GSO standard applicable GSO IEC 61000-3-2 GSO IEC 61000-3-3 GSO IEC 61000-3-12 [*] GSO IEC 61000-3-11 [*] ⁺ When applicable [*] Applicable in cases of equipment rated > 16 A	CISPR 14-1 CISPR 11 ⁺ CISPR 14-2 IEC 61000-3-2 IEC 61000-3-3 IEC 61000-3-12 [*] IEC 61000-3-11 [*] ⁺ When applicable [*] Applicable in cases of equipment rated > 16 A



Performance Certification

UL Verified Leaf Mark



UL Certified Enhanced Mark - General Information

The UL Leaf / Energy Verified Mark:

- Address compliance with testing regulation and procedures of an EE scheme
- Allows obtain the test results at UL test facilities but as well at customer facilities under Data Acceptancy Program and Witness Test Data Program.
- Ensures trustworthiness of the EE results/data achieved since they have been measured by the trustable 3rd party UL.
- Enables to use the UL Energy Verified Mark



UL Leaf mark to be
used for single listings



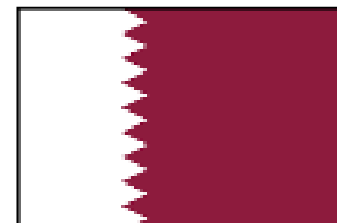
UL Certified mark for double listings
(UL + UL Energy Verified)



UL Certified Enhanced Mark – Covered Standards

The UL Energy Verified Mark gives trust in test data and enables to show compliance for following Middle Eastern energy labeling programs:

- KWS 1893
- SASO 2663
- SASO2874
- UAE.S: 5010-1
- UAE.S 5010-5
- GSO 2530
- QS SASO 2663



Thank you



Eurovent Certita Certification



Mr Eric Foucherot
Director International Affairs
Eurovent Certita Certification (ECC)

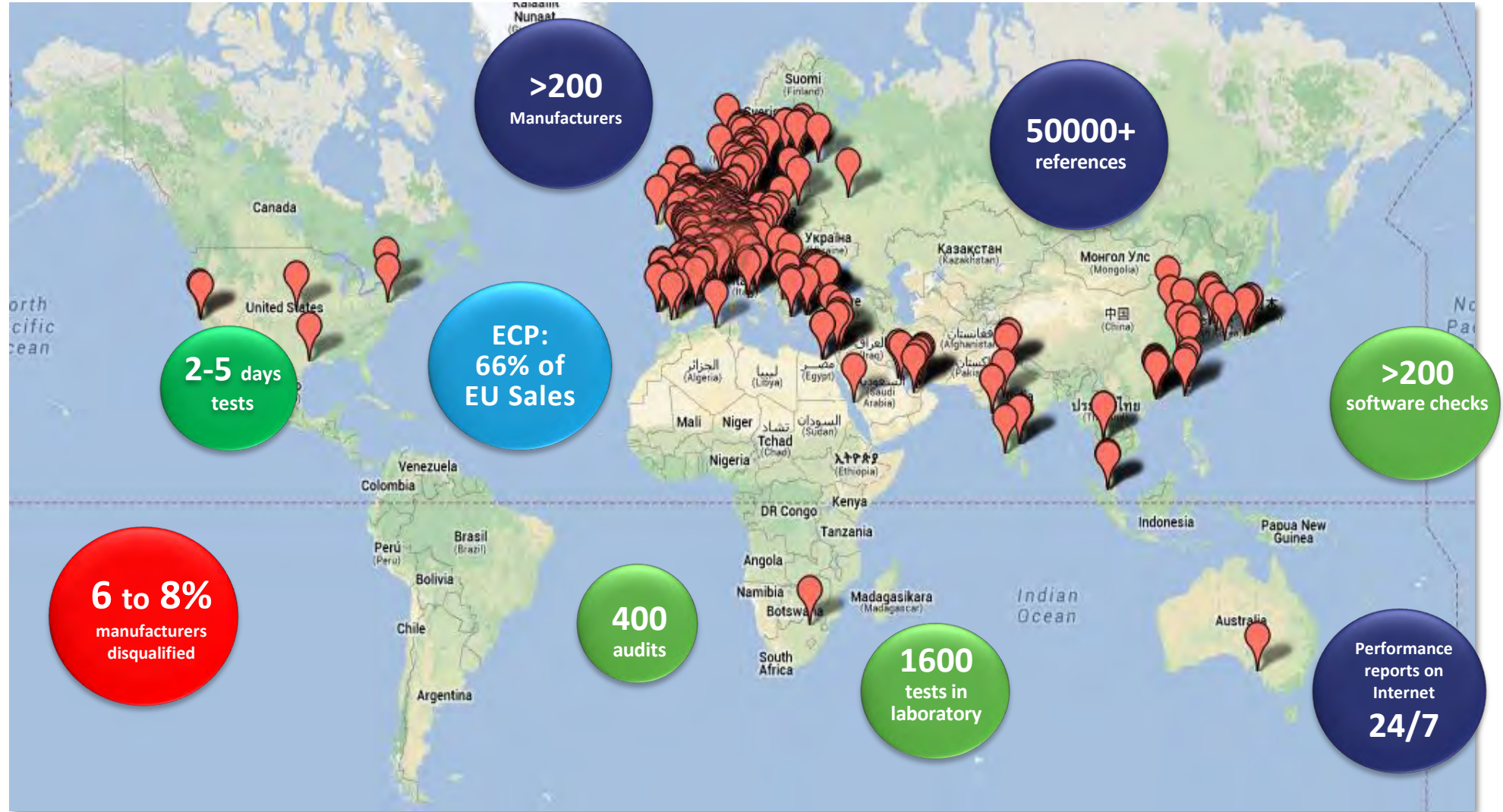


An Independent, Impartial, Neutral Organization



- ❑ Voluntary Certification provider
- ❑ Launched in 1994 to build fair level playing fields for HVAC&R European Industry
- ❑ 60 professionals committed to bring Confidence to HVAC&R Market
- ❑ ISO 17065:2012 (Scheme 5) accredited
- ❑ Member of ISO TC 86, CEN TC 113 (official partner of GSO TC 03 since 2019)

An Increasing International Influence



Neutrally dedicated to all HVAC&R Technologies



VENTILATION & INDOOR AIR QUALITY



Air Handling Units
(+ higienic option)



Ventilation
ducts

Air
Filters



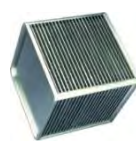
Residential
Air Filters



Fans



Air
Cleaners



Air to air
heat
exchangers



Residential
AHU



INDOOR CLIMATE



Variable
refrigerant flow



Liquid-to-liquid plate
heat exchangers



Chilled beams



Fan coils



Heat
Interface
Units



Rooftops



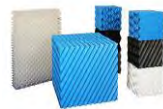
Air conditioners



PROCESS COOLING & FOOD COLD CHAIN



Refrigerated Display Cabinets



Drift eliminators



Chillers &
Heat-Pumps



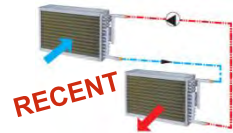
Condensing
Units



Evaporative cooling



Heating & Cooling Coils



Heat Recovery Systems with
intermediate heat transfer medium



Cooling towers



Heat Exchangers
for refrigeration



How do you compare?

Do you trust “Self Certified” Performances ?

Is Brand Names enough ?

What is the most fair prescription ?

...



DE-RISK

Where Independent Third Party Certification is missing

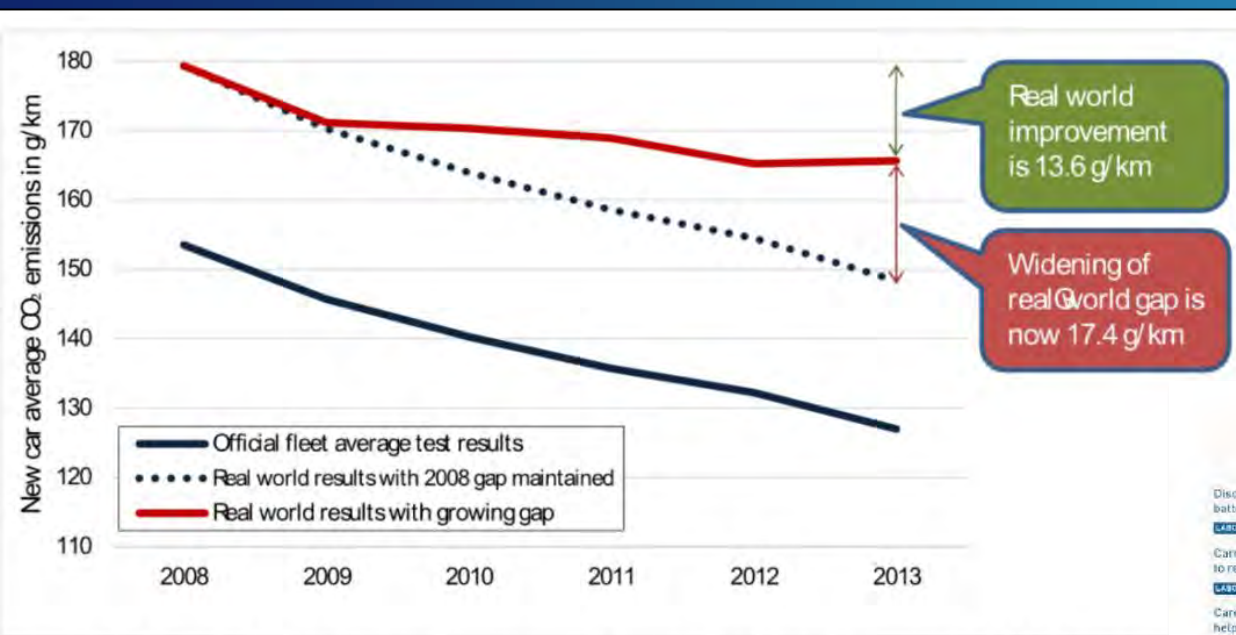


Fig 2: Official CO₂ test results versus the real world outcomes in 2013 for private motorists (derived from ICCT, 2014)



How clean are Europe's cars?
An analysis of carmaker progress towards EU CO₂ targets in 2014

10th Edition



TRANSPORT & ENVIRONMENT

Common ways carmakers manipulate tests for CO₂ emissions and fuel economy

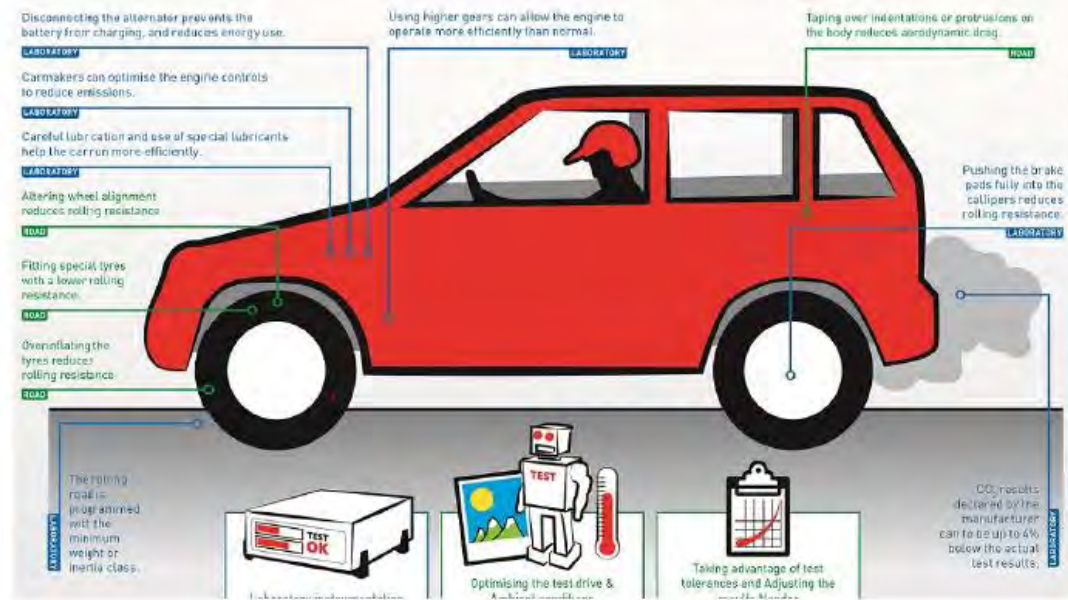


Fig 5: Common ways carmakers manipulate tests for CO₂ emissions and fuel economy

Why third party certification?

Third-party certification establishes rules that enable an objective assessment of the performances



ISO 17065 Accreditation for a Sustainable Ecosystem

ISO 17065 Accreditation is the guaranty for all stakeholders that
3rd Party Independent Certification Body brings values to the Ecosystem



Manufacturers

Databases



End-Riskers

**Designers, Users, Owners,
Direct & 3rd Party financiers**



**ISO 17065
Accredited
Certification Body**

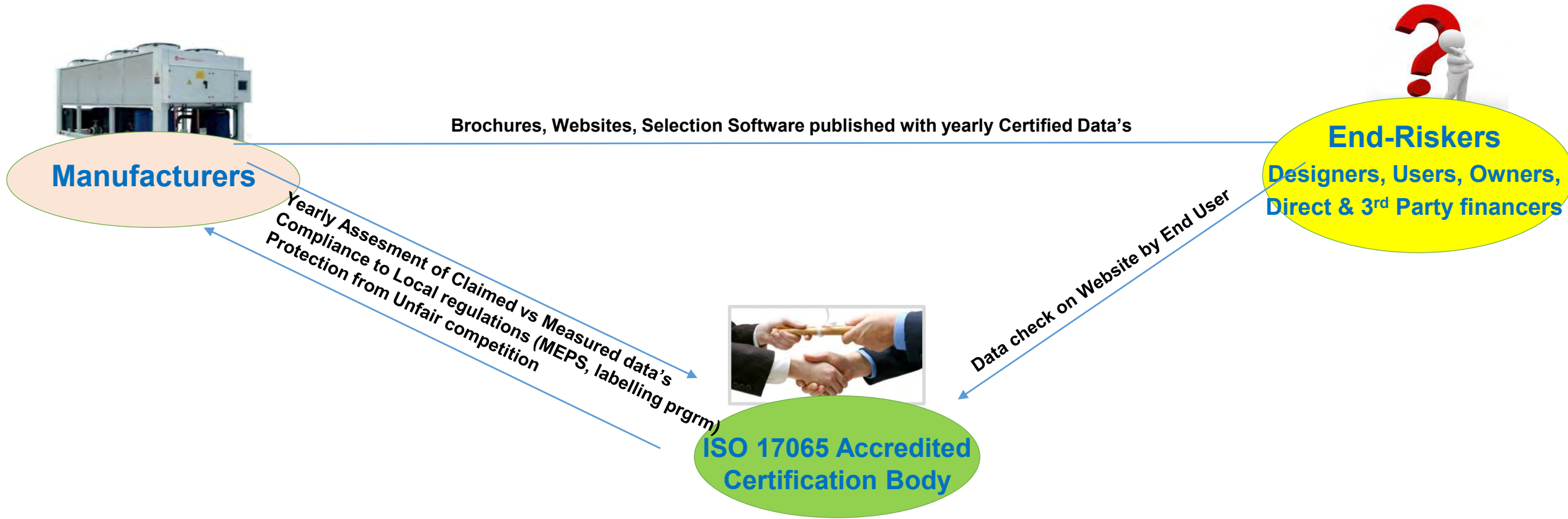
**ISO 17025 Accredited
Independent Laboratories**



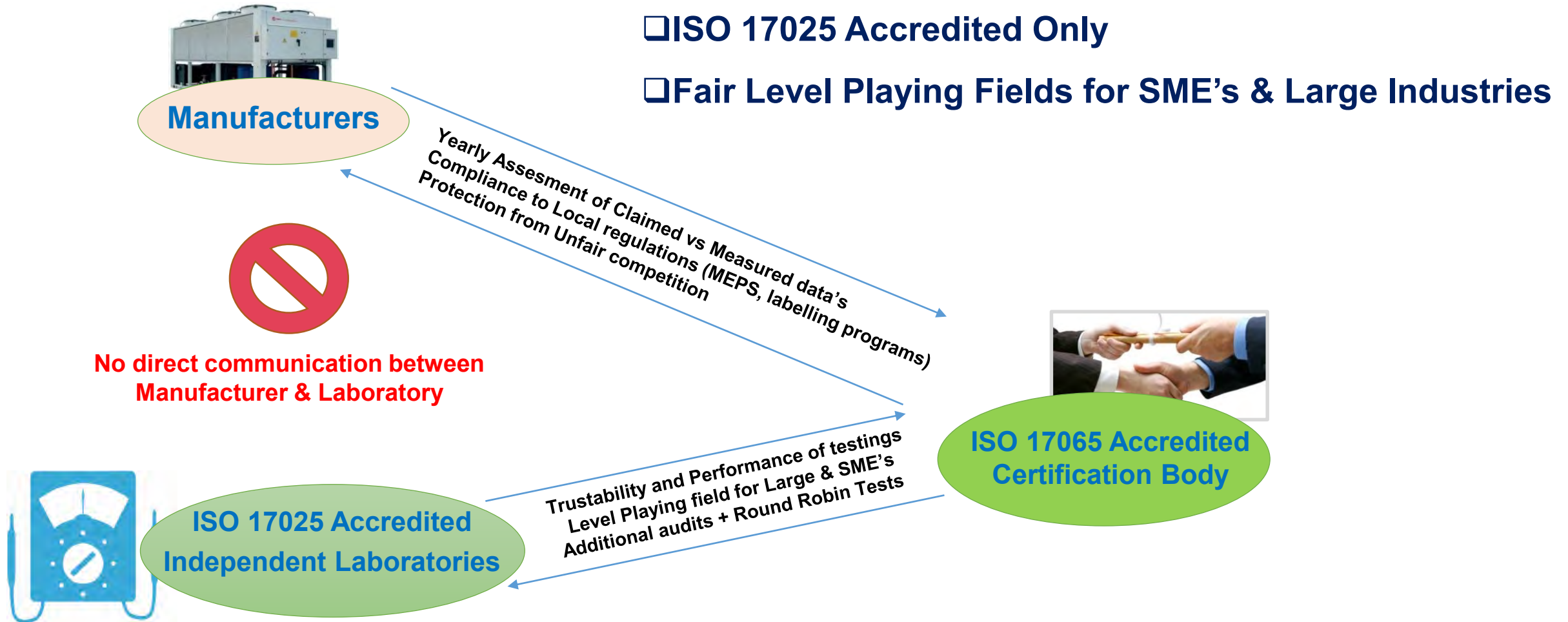
**Authorities
Policies Makers**

A strategic Investment by Industry to De-Risk Markets

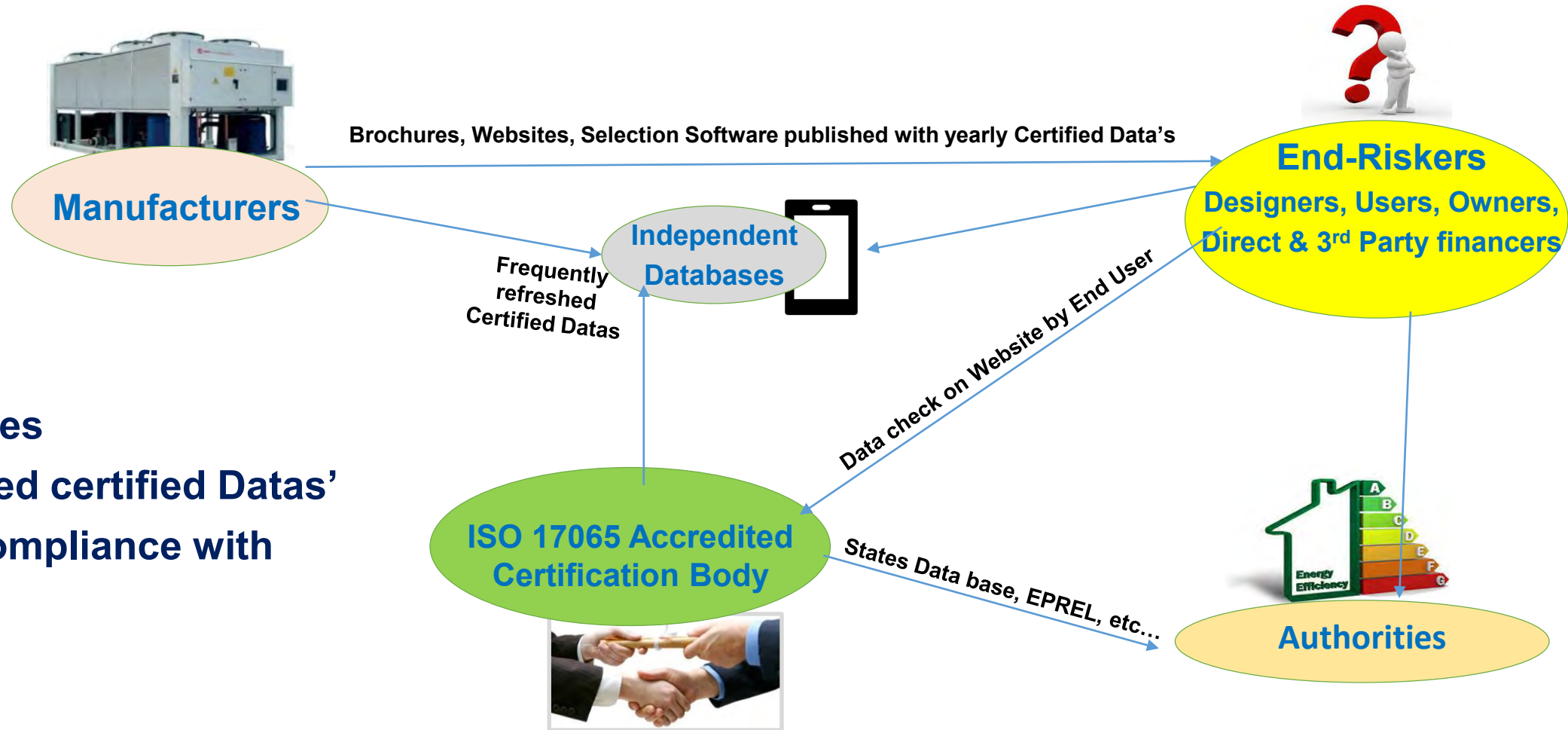
- ❑ Independent Third Party Certification builds Fair Level Playing Fields
- ❑ Certification Programs and governance are in hands of all stake-holders: Manufacturers, Labs, Users & Authorities
- ❑ Best Confidence for Cost: the most effective and consitant way for Manufacturers' to bring Confidence to Market



Partnership with Independent Laboratories



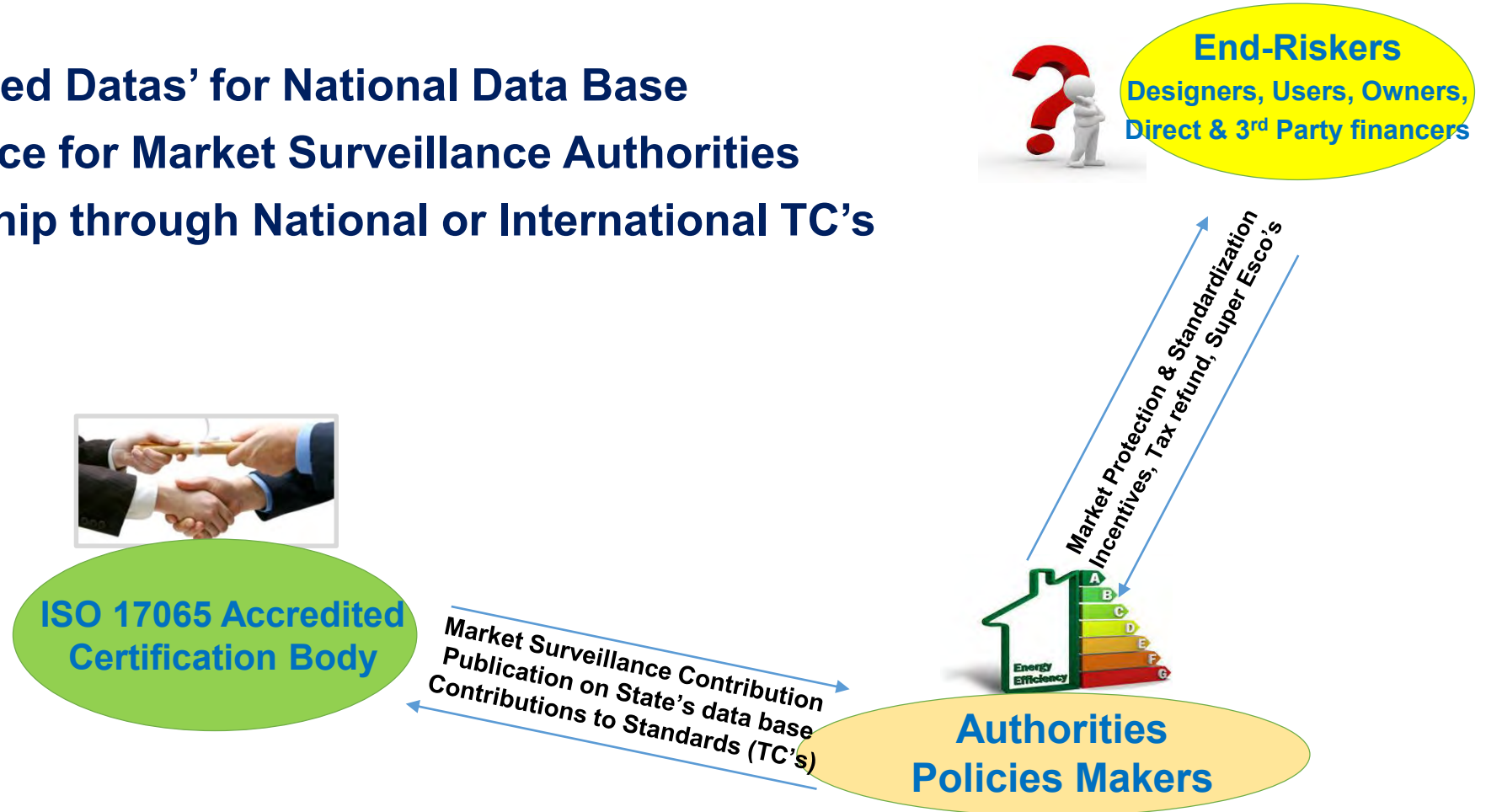
Reliable Data's sources for End-Riskers



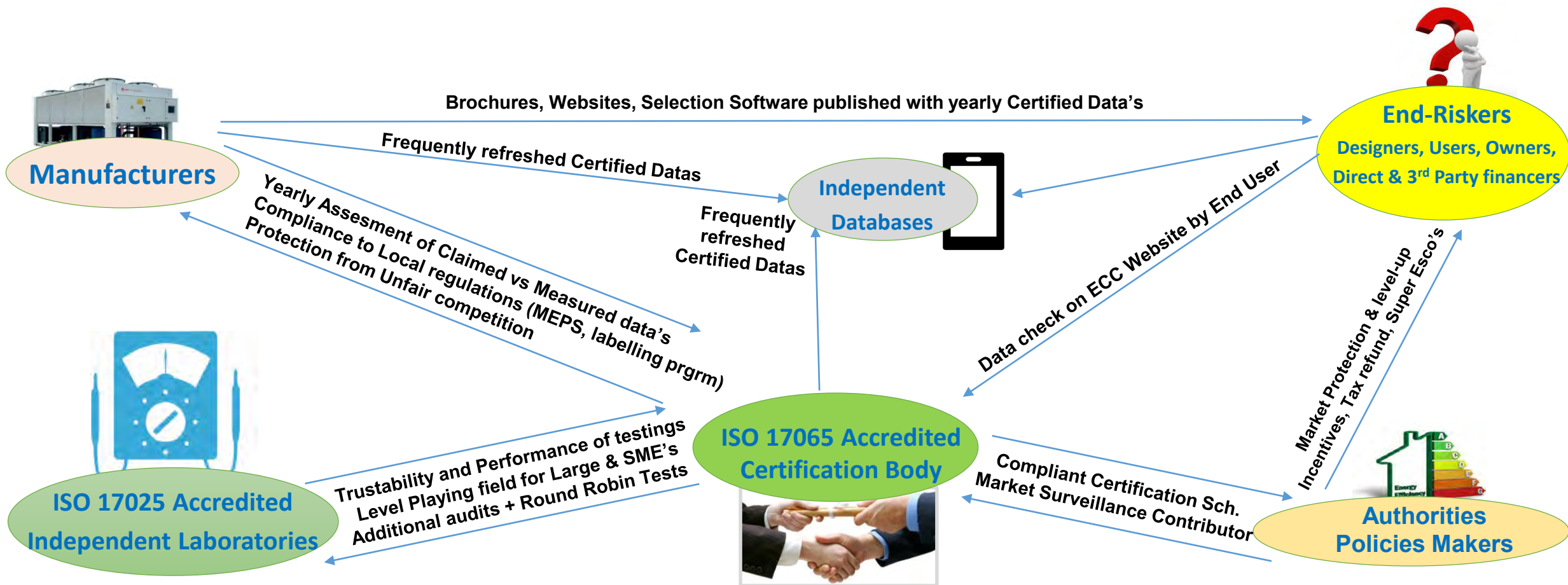
- ☐ Multiple sources
- ☐ Yearly refreshed certified Datas'
- ☐ Guaranteed compliance with Regulations

Independent partner for Authorities & Regulators

- ❑ Trustable and Updated Datas' for National Data Base
- ❑ A powerful Assistance for Market Surveillance Authorities
- ❑ Standards' partnership through National or International TC's



ISO 17065 Accreditation for a sustainable Sector



The Highest level of Protection: ISO 17065 Scheme 5



Reference Standards

- ISO/IEC 17067 Conformity assessment -- Fundamentals of product certification and guidelines for product certification schemes;

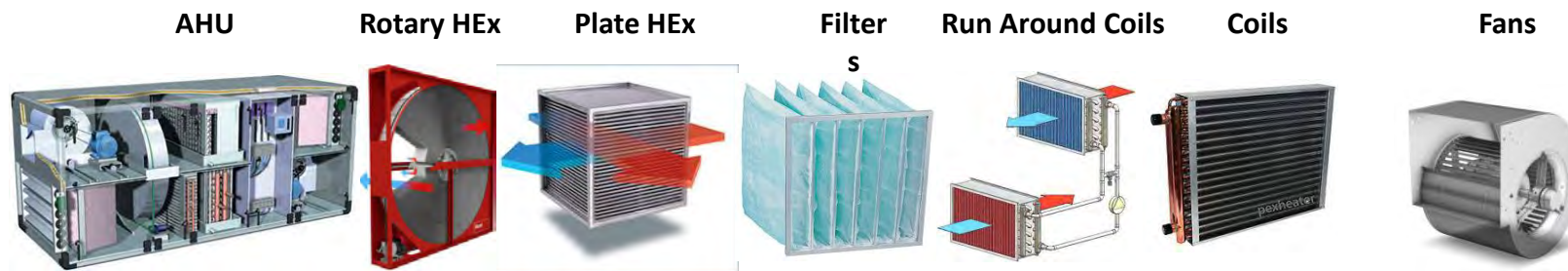


Table 1 — Building a product certification scheme

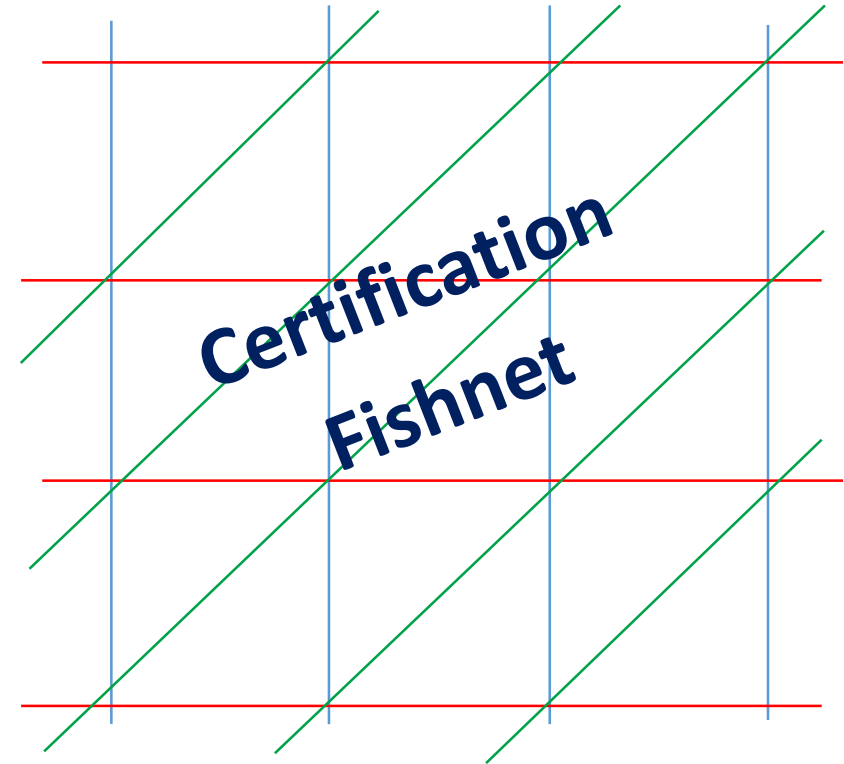
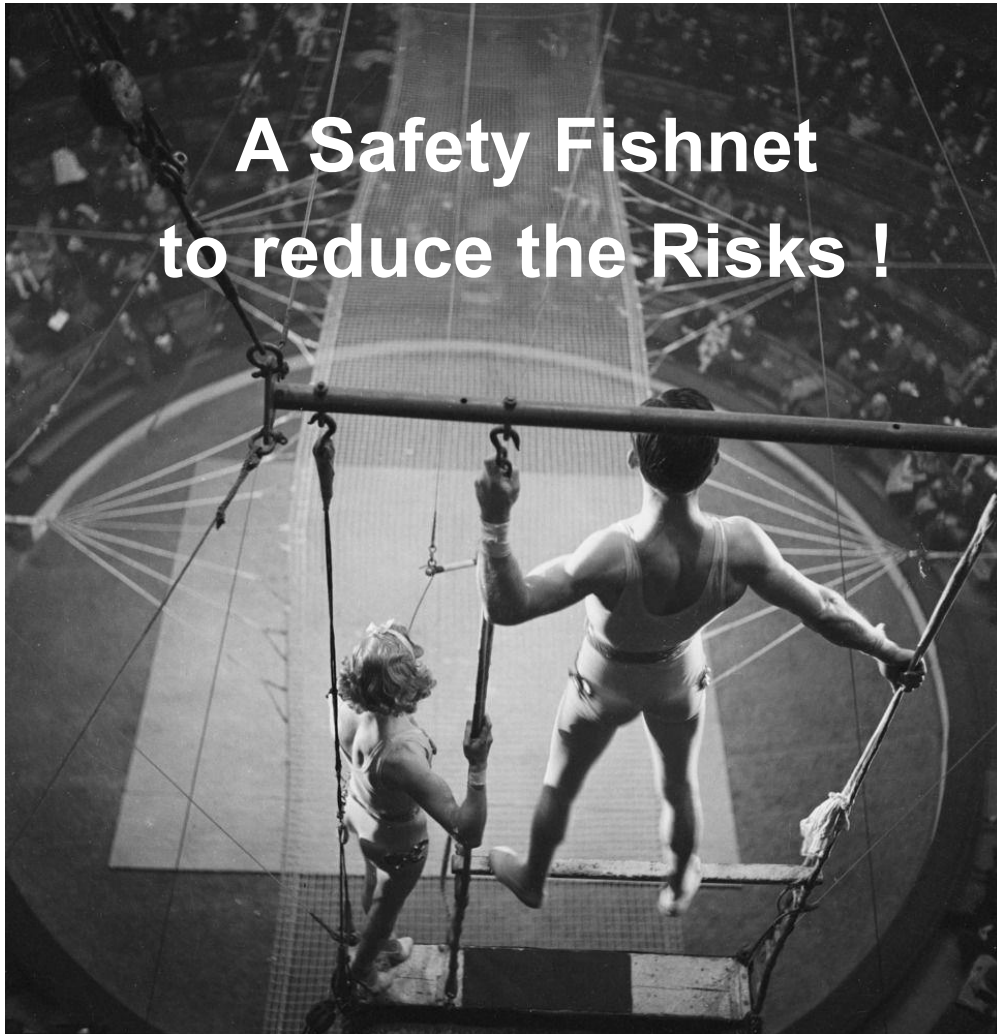
Conformity assessment functions and activities ^a within product certification schemes		Types of product certification schemes ^b							
		1a	1b	2	3	4	5	6	N ^{c,d}
I	Selection , including planning and preparation activities, specification of requirements, e.g. normative documents, and sampling, as applicable	x	x	x	x	x	x	x	x
II	Determination of characteristics , as applicable, by: a) testing b) inspection c) design appraisal d) assessment of services or processes e) other determination activities, e.g. verification	x	x	x	x	x	x	x	x
III	Review Examining the evidence of conformity obtained during the determination stage to establish whether the specified requirements have been met	x	x	x	x	x	x	x	x
IV	Decision on certification Granting, maintaining, extending, reducing, suspending, withdrawing certification	x	x	x	x	x	x	x	x
V	Attestation, licensing								
	a) issuing a certificate of conformity or other statement of conformity (attestation)	x	x	x	x	x	x	x	x
	b) granting the right to use certificates or other statements of conformity	x	x	x	x	x	x	x	
	c) issuing a certificate of conformity for a batch of products		x						
	d) granting the right to use marks of conformity (licensing) is based on surveillance (VI) or certification of a batch.		x	x	x	x	x	x	
VI	Surveillance , as applicable (see 5.3.4 to 5.3.8), by:								
	a) testing or inspection of samples from the open market			x		x	x		
	b) testing or inspection of samples from the factory				x	x	x		
	c) assessment of the production, the delivery of the service or the operation of the process				x	x	x	x	
	d) management system audits combined with random tests or inspections						x	x	

Where ISO 17065 Scheme 5 makes sense: AHU's Certification

- ❑ A typical Holistic program, started 1998
- ❑ Constant Improved by all Stakeholders during Program Committees (Manufacturers & Labs)



The philosophy of Risk reduction: the Safety Fishnet principle



Risk reduction: the Fishnet principle

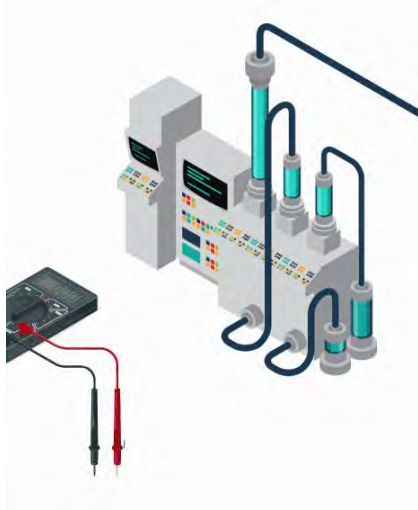


SELECTION SOFTWARE CHECK



1. **Pre-check** (remote)
 - ☐ Verification of compliance to **general** and **specific requirements**
2. **On-site check** (audit)
 - ☐ Verification that the **software version** submitted for certification is the one used in situ
 - ☐ Verification that all orders are treated fairly
3. **Software** / test **results** comparison (“**Test check**”)
 - ☐ Verification of consistency between software ratings and test results

Risk reduction: the Fishnet principle



LABORATORY's TESTS



☐ INDEPENDENT

Independent laboratories / test agencies must be **independent** and with no connection whatsoever to any Participant in the programme (*Art.49 of CM 16th edition*)



☐ QUALIFIED

ISO 17025 standard requirements for quality, administrative and technical operations

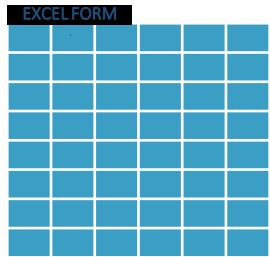
Round-Robin tests when more than one laboratory



Risk reduction: the Fishnet principle



**FACTORY
AUDIT**



**N products
declared**



**% of N products to be
tested**



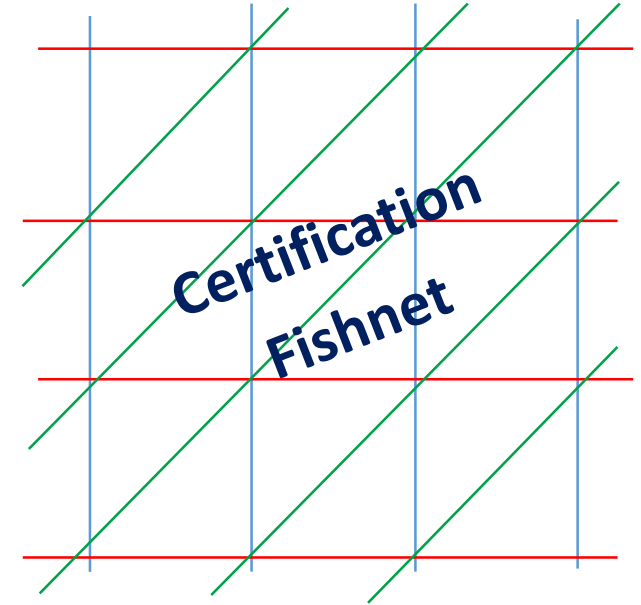
Factory audit

- ❑ Tests and audits are complementary checks that provide a 100% confidence level

The Permanent Annual process of Product Certification



**CERTIFICATION IS
AN ANNUAL
PROCESS**



AN EASY AND OBJECTIVE COMPARISON

- ❑ Certified data available 24/7 without any login/password
- ❑ Data published with the same units to guarantee comparison and transparency



FREE AVAILABILITY ON-LINE

[Eurovent Certita Certification website](http://www.eurovent-certification.com)

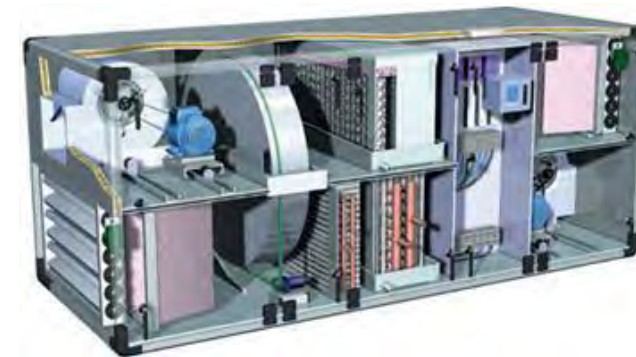
Some Misunderstanding and Confusions

❑ Certification assess conformity to Manufacturer's declaration: whatever the Level of Performances

❑ Certified "Systems" as AHU's may (and get advantage to) use Certified Components

BUT

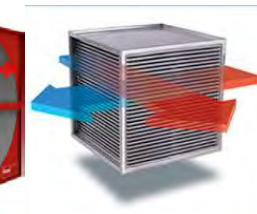
Using Certified Components is not sufficient !



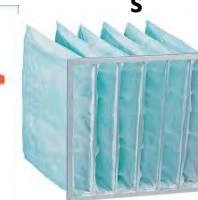
Rotary HEx



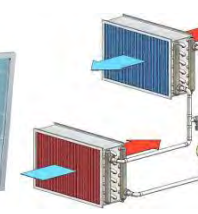
Plate HEx



Filter



Run Around Coils



Coils



Fans



- ☐ Claimed performances can be used directly (No safety margins !)
- ☐ Accurate programs, for Real Life performances (Testing methods & Conditions) compliant with standards if any
- ☐ Enable fast development of Programs for new technologies (ie Evaporative Cooling or Hygienic option of AHU program), anticipate Standards (ESEER !)
- ☐ Protect from Misleading, Manipulation of testing's, Manufacturing deviations,...
- ☐ A best practice to De-Risk Energy Efficiency Investment & Third Party finance
- ☐ An increasing condition for eligibility to Incentives policies/Tax refund

The Added values* of Independent 3rd Party Certification

- ❑ ISO 17065 Accredited Bodies are the most reliable partners for Product Certification
- ❑ Scheme 5 of ISO 17065 Accreditation is perfectly dedicated to medium & large scales productions
- ❑ Inside the Certification Process, testings by Independent ISO 17025 Accredited Laboratories is the most reliable way
- ❑ Fair Comparison of Performances, whatever the Manufacturer's Size and Country of Origin
- ❑ Enable Robust & Sustainable Ecosystems for the Interest of ALL Stakeholders
- ❑ Brings End-Riskers Confidence !

**Interesting developments on Added Values of Third Party Certification on:*

https://www.tic-council.org/application/files/2915/5903/0284/THE_ADDED_VALUE_OF_3rd_PARTY_INSPECTION_AND_CERTIFICATION.pdf



**You are
Investor,
Architect,
Operator,
Insurer,
Designer,
Policy Maker,
End-Risker**

**Prescribe
Certified Products
by
ISO 17065
Accredited Bodies**



Discussion, Q&A



Brian Suggitt
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Final Remarks



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Take-Aways

- Third party certification, based on ISO 17065 is a useful tool for market surveillance
- Certificates need to be correctly understood and always checked for validity
- Great benefits for regulators, manufacturers, consultants, investors and end users

Workshop partners



climate control MIDDLE EAST

KEY PERSPECTIVES ON THE REGION'S HVACR INDUSTRY



Thank You!